

**FORWARD COMMUNITY INVESTMENTS, INC.
INVESTOR APPLICATION**

*Please complete and return to Forward Community Investments,
211 S. Paterson Street, Suite 160, Madison, WI 53703*

PART I – GENERAL INFORMATION

Investor (please check one): Individual Entity

Investor is domiciled/incorporated in Wisconsin (please check one): Yes No

_____ Name (First, MI, Last or Name of Entity)	_____ Social Security No. or Tax ID No.
_____ Address	_____ Daytime Telephone No.
_____ City State Zip Code	_____ Email
_____ If an Entity, Type of Entity (partnership, corporation, trust)	_____ If an Entity, Jurisdiction of Formation of Incorporation

Joint Investor (if applicable)

_____ Name (First, MI, Last or Name of Entity)	_____ Social Security No. or Tax ID No.
_____ Address (Complete only if different from above)	_____ Daytime Telephone No.
_____ City State Zip Code	_____ Email

PART II – PROPOSED TERMS OF INVESTMENT

Principal Amount <i>Must be at least \$1,000*</i>	\$ _____
Term <i>Preferred minimum is 3 years</i>	<input type="checkbox"/> 3 years <input type="checkbox"/> 5 years <input type="checkbox"/> 10 years <input type="checkbox"/> Other ___ years
Interest Rate <i>Must be 0% to 3.0%</i>	_____ %
Frequency of Interest Payment <i>Please check one</i>	<input type="checkbox"/> Semi-annually on June 1 and December 1 <input type="checkbox"/> Annually on December 1 <input type="checkbox"/> Non-compounded interest held until maturity of loan
Interest Payments <i>Please check one</i>	<input type="checkbox"/> Send interest payments to the address listed in Part I, General Information <input type="checkbox"/> Send interest payments to address listed in Part II (below)

** NOTE: If you are not domiciled in Wisconsin, the minimum investment is \$5,000.*

Send Interest Payments To (if same address as listed in Part I, skip and go to Part III)

Name _____			Company Name _____		
Address _____			Telephone Number _____		
City _____	State _____	Zip Code _____	Fax Number _____		

PART III – FOR INDIVIDUALS ONLY: ACCREDITED INVESTOR QUALIFICATION

NOTE: We do NOT need this information for Wisconsin-based investors. If you are domiciled in Wisconsin and prefer not to complete this section, please disregard. To be an “accredited investor” an individual investor must meet at least one of the two following standards:

NET WORTH TEST: Is your current net worth, including the current worth of your spouse/partner (if married/eligible to file jointly), in excess of \$1,000,000?

- Yes.** If yes, you are qualified.
- No.** You must qualify under either the net worth or income test. Please see below.

INCOME TEST: (1) In each of the two most recent years, was your individual gross income (from all sources) in excess of \$200,000 or was your joint gross income with your spouse/partner (if married/eligible to file jointly) in excess of \$300,000? **AND** (2) For this year, do you anticipate that your gross income (from all sources) will reach the same level as the previous two years?

- Yes.** If yes, you are qualified.
- No.** You must qualify under either the net worth or income test. Please see below.

If you are not qualified under either the net worth or income test, please contact Forward Community Investments and we will work with you to establish eligibility through other exemptions.

PART IV: FOR INDIVIDUALS ONLY: REPAYMENT OF LOAN TO ESTATE OR BEQUEST PROVISION

Some individual lenders to Forward Community Investments wish to designate that, in the event of their death before the maturity of the loan, the loan automatically be discharged as a general bequest – converted to a gift to Forward Community Investments. ***Without specific authorization to convert a loan into a bequest, the loan would be repaid to one’s estate upon its maturity.*** If you would like to designate that your loan be converted to a gift in the event of your death before the loan’s maturity, please check the box below and sign where indicated.

- In the event of my death before the maturity date of this loan, I hereby bequeath the full value of this loan, including any accrued or unpaid interest or, alternatively, the following amount (\$_____) of this loan or the following percentage (_____ %) of this loan to Forward Community Investments.

Authorized Signature

Date

Print Authorized Signatory’s Name

PART V – FOR ENTITIES: ACCREDITED INVESTOR QUALIFICATION

NOTE: We do NOT need this information for Wisconsin-based investors. If you are domiciled/incorporated in Wisconsin and prefer not to complete this section, please disregard. Entity investors must fall into one of the following categories to be an “accredited investor.” Please indicate which category best describes your entity:

- A bank, insurance company, registered investment company, business development company, or a small business investment company
- An employee benefit plan, within the meaning of the Employee Retirement Income Security Act, if a bank, insurance company, or registered investment adviser makes the investment decisions, or if the plan has total assets in excess of \$5 million
- A charitable organization, corporation, or partnership with assets exceeding \$5 million
- A business in which all the equity owners are accredited investors
- A trust with assets in excess of \$5 million, not formed to acquire the securities offered, whose purchases are made by a sophisticated person
- None of the above.** *If you are not qualified under any of these standards, please contact Forward Community Investments and we will work with you to establish eligibility through other exemptions.*

PART VI: AUTHORIZATION TO PUBLISH NAME OR ANONYMITY PREFERENCE

I/we understand that the endorsement of Forward Community Investments by lenders is extremely helpful to Forward Community Investments in attracting other interested people to become lenders or contributors. This endorsement establishes credibility and represents the broad community support necessary for Forward Community Investment’s effectiveness. The amount and details of the loan will not be disclosed, nor will your mailing address; only the name, as indicated below will be used.

- I/we authorize Forward Community Investments to use my/our name and community as a lender to Forward Community Investments as follows:

- Please keep my/our status as a lender to Forward Community Investments confidential.

PART VII: SIGNATURE

By signing below, the undersigned agrees to the terms set forth above and agrees to notify Forward Community Investments if any of the information provided on this form changes.

Authorized Signature

Date

Print Authorized Signatory’s Name

Name of Entity, if applicable

Signature of Joint Investor, if applicable

Date

Print Joint Investor’s Name